

## [MS-LWSSP-Diff]:

# Lightweight Web Services Security Profile

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# 1 Introduction

The Lightweight Web Services Security Profile [MS-LWSSP] specifies restrictions on a set of Web services specifications and provides clarifications that promote interoperability when building secure Web services. [MS-LWSSP] and the profiled Web services specifications are used by both clients and servers to implement client authentication.

Sections 1.5, 1.8, 1.9, 2, and 3 of this specification are normative. All other sections and examples in this specification are informative.

## 1.1 Glossary

This document uses the following terms:

**authentication:** The act of proving an identity to a server while providing key material that binds the identity to subsequent communications.

**Kerberos:** An authentication system that enables two parties to exchange private information across an otherwise open network by assigning a unique key (called a ticket) to each user that logs on to the network and then embedding these tickets into messages sent by the users. For more information, see [MS-KILE].

**key:** In cryptography, a generic term used to refer to cryptographic data that is used to initialize a cryptographic algorithm. Keys are also sometimes referred to as keying material.

**request security token (RST):** A message sent to a security token service to request a security token.

**request security token response (RSTR):** A response to a request for a security token. In many cases this is a direct response from a security token service to a requestor after receiving an RST message. However, in multi-exchange scenarios, the requestor and security token service may exchange multiple RSTR messages before the security token service issues a final RSTR message.

**RequestSecurityTokenResponse (RSTR):** An XML element used to return an issued security token and associated metadata. An RSTR element is the result of the wsignin1.0 action in the Web Browser Federated Sign-On Protocol. For more information, see [MS-MWBF] section 2.2.4.1.

**Security Assertion Markup Language (SAML):** The set of specifications that describe security assertions encoded in XML, profiles for attaching assertions to protocols and frameworks, request/response protocols used to obtain assertions, and the protocol bindings to transfer protocols, such as SOAP and HTTP.

**security context:** An abstract data structure that contains authorization information for a particular security principal in the form of a Token/Authorization Context (see [MS-DTYP] section 2.5.2). A server uses the authorization information in a security context to check access to requested resources. A security context also contains a key identifier that associates mutually established cryptographic keys, along with other information needed to perform secure communication with another security principal.

**security context token (SCT):** A wire representation of the abstract security context concept described in [WSSC], which allows a security context to be named by a URI and used as described in [WSS1].

**security token:** A collection of one or more claims. Specifically in the case of mobile devices, a security token represents a previously authenticated user as defined in the Mobile Device Enrollment Protocol [MS-MDE].

**security token service (STS):** A web service that issues security tokens as described in [WSTrust]. That is, it makes assertions based on evidence that it trusts to whoever trusts it (or to specific recipients). To communicate trust, a service requires proof, such as a signature to prove knowledge of a security token or set of security tokens. A service itself can generate tokens or it can rely on a separate STS to issue a security token with its own trust statement. (Note that for some security token formats, this can be just a re-issuance or co-signature.) This forms the basis of trust brokering.

**signature:** A value computed with a cryptographic algorithm and bound to data in such a way that intended recipients of the data can use the signature to verify that the data has not been altered and/or has originated from the signer of the message, providing message integrity and authentication. The signature can be computed and verified either with symmetric key algorithms, where the same key is used for signing and verifying, or with asymmetric key algorithms, where different keys are used for signing and verifying (a private and public key pair are used). For more information, see [WSFederation1.2].

**SOAP:** A lightweight protocol for exchanging structured information in a decentralized, distributed environment. SOAP uses XML technologies to define an extensible messaging framework, which provides a message construct that can be exchanged over a variety of underlying protocols. The framework has been designed to be independent of any particular programming model and other implementation-specific semantics. SOAP 1.2 supersedes SOAP 1.1. See [SOAP1.2-1/2003].

**SOAP message:** An XML document consisting of a mandatory SOAP envelope, an optional SOAP header, and a mandatory SOAP body. See [SOAP1.2-1/2007] section 5 for more information.

**symmetric key:** A secret key used with a cryptographic symmetric algorithm. The key needs to be known to all communicating parties. For an introduction to this concept, see [CRYPTO] section 1.5.

**web service:** A software system designed to support interoperable machine-to-machine interaction over a network. A web service has an interface described in a machine-processable format (WSDL). Other systems interact with the web service in a manner prescribed by its description using SOAP-messages, typically conveyed using HTTP with an XML serialization in conjunction with other web-related standards.

**XML:** The Extensible Markup Language, as described in [XML1.0].

**MAY, SHOULD, MUST, SHOULD NOT, MUST NOT:** These terms (in all caps) are used as defined in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

## 1.2 References

Links to a document in the Microsoft Open Specifications library point to the correct section in the most recently published version of the referenced document. However, because individual documents in the library are not updated at the same time, the section numbers in the documents may not match. You can confirm the correct section numbering by checking the Errata.

### 1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[BSP] McIntosh, M., Gudgin, M., Morrison, K.S., et al., "Basic Security Profile Version 1.0", March 2007, <http://www.ws-i.org/Profiles/BasicSecurityProfile-1.0.html>

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.rfc-editor.org/rfc/rfc2119.txt>

[SAMLCore] Maler, E., Mishra, P., Philpott, R., et al., "Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML) V1.1", September 2003, <http://www.oasis-open.org/committees/download.php/3406/oasis-sstc-saml-core-1.1.pdf>

[SAMLToken1.1] Lawrence, K., Kaler, C., Monzillo, R., et al., "Web Services Security: SAML Token Profile 1.1", February 2006, <http://www.oasis-open.org/committees/download.php/16768/wss-v1.1-spec-os-SAMLTokenProfile.pdf>

[WSS1] Nadalin, A., Kaler, C., Hallam-Baker, P., et al., "Web Services Security: SOAP Message Security 1.0 (WS-Security 2004)", March 2004, <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf>

[WSSC1.3] Lawrence, K., Kaler, C., Nadalin, A., et al., "WS-SecureConversation 1.3", March 2007, <http://docs.oasis-open.org/ws-sx/ws-secureconversation/200512/ws-secureconversation-1.3-os.html>

[WSSC] OpenNetwork, Layer7, Netegrity, Microsoft, Reactivity, IBM, VeriSign, BEA Systems, Oblix, RSA Security, Ping Identity, Westbridge, Computer Associates, "Web Services Secure Conversation Language (WS-SecureConversation)", February 2005, <http://schemas.xmlsoap.org/ws/2005/02/sc>

[WSSKTP1.1] Lawrence, K., Kaler, C., Nadalin, A., et al., "Web Services Security Kerberos Token Profile 1.1", November 2005, <http://www.oasis-open.org/committees/download.php/16788/wss-v1.1-spec-os-KerberosTokenProfile.pdf>

[WSSUTP1.1] OASIS Standard, "Web Services Security UsernameToken Profile 1.1", February 2006, <http://www.oasis-open.org/committees/download.php/16782/wss-v1.1-spec-os-UsernameTokenProfile.pdf>

[WSSUTP] OASIS, "Web Services Security UsernameToken Profile 1.0", OASIS Standard, March 2004, <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0.pdf>

[WSS] OASIS, "Web Services Security: SOAP Message Security 1.1 (WS-Security 2004)", February 2006, <http://www.oasis-open.org/committees/download.php/16790/wss-v1.1-spec-os-SOAPMessageSecurity.pdf>

[WSTrust1.3] Lawrence, K., Kaler, C., Nadalin, A., et al., "WS-Trust 1.3", March 2007, <http://docs.oasis-open.org/ws-sx/ws-trust/200512/ws-trust-1.3-os.html>

[WSTrust] IBM, Microsoft, Nortel, VeriSign, "WS-Trust V1.0", February 2005, <http://specs.xmlsoap.org/ws/2005/02/trust/WS-Trust.pdf>

[XMLDSig/2008] Bartel, M., Boyer, J., Fox, B., et al., "XML Signature Syntax and Processing (Second Edition)", June 2008, <http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>

[XMLENC] Imamura, T., Dillaway, B., and Simon, E., "XML Encryption Syntax and Processing", W3C Recommendation, December 2002, <http://www.w3.org/TR/2002/REC-xmlenc-core-20021210/>

## 1.2.2 Informative References

[SOAP1.1] Box, D., Ehnebuske, D., Kakivaya, G., et al., "Simple Object Access Protocol (SOAP) 1.1", W3C Note, May 2000, <http://www.w3.org/TR/2000/NOTE-SOAP-20000508/>

[SOAP1.2-1/2007] Gudgin, M., Hadley, M., Mendelsohn, N., et al., "SOAP Version 1.2 Part 1: Messaging Framework (Second Edition)", W3C Recommendation, April 2007, <http://www.w3.org/TR/2007/REC-soap12-part1-20070427/>



[WS-Addr-Core] World Wide Web Consortium, "Web Services Addressing 1.0 - Core", W3C Recommendation, May 2006, <http://www.w3.org/TR/ws-addr-core/>

[XML] World Wide Web Consortium, "Extensible Markup Language (XML) 1.0 (Fourth Edition)", W3C Recommendation 16 August 2006, edited in place 29 September 2006, <http://www.w3.org/TR/2006/REC-xml-20060816/>

### 1.3 Overview

The following documents specify a standard set of SOAP extensions that provide client/server authentication and content integrity and confidentiality for SOAP messages when building secure Web services clients and servers. The Lightweight Web Services Security Profile specifies a profile for performing lightweight client authentication and security token exchange based on the protocols described in these documents:

- Assertions and Protocol for the OASIS Security Assertion Markup Language (SAML) V1.1 [SAMLCore]
- Basic Security Profile Version 1.0 [BSP]
- Web Services Secure Conversation Language (WS-SecureConversation) [WSSC]
- WS-SecureConversation 1.3 [WSSC1.3]
- Web Services Security Kerberos Token Profile 1.1 [WSSKTP1.1]
- Web Services Security: SAML Token Profile 1.1 [SAMLToken1.1]
- Web Services Security: SOAP Message Security 1.0 (WS-Security 2004) [WSS1]
- Web Services Security: SOAP Message Security 1.1 (WS-Security 2004) [WSS]
- Web Services Security UsernameToken Profile 1.0 [WSSUTP]
- Web Services Security UsernameToken Profile 1.1 [WSSUTP1.1]
- WS-Trust V1.0 [WSTrust]
- WS-Trust 1.3 [WSTrust1.3]
- XML-Signature Syntax and Processing (Second Edition) [XMLDSig/2008]
- XML Encryption Syntax and Processing [XMLENC]

Section 2 specifies clarifications and restrictions on these specifications to increase interoperability when implementing client authentication and security context establishment using username/password, Kerberos ticket, and SAML token, and acquiring a security token from a security token service (STS).

The protocols used by this specification can be categorized as follows.

[XMLDSig/2008] and [XMLENC] specify basic XML signature and encryption functionality. These protocols are referred to as XML Extension protocols.

[WSS1], [WSS], and [SAMLCore] specify the building blocks needed to provide client authentication in SOAP messages. Those building blocks include security tokens, security token references, signatures, and timestamps. These protocols are referred to as Core Security protocols.

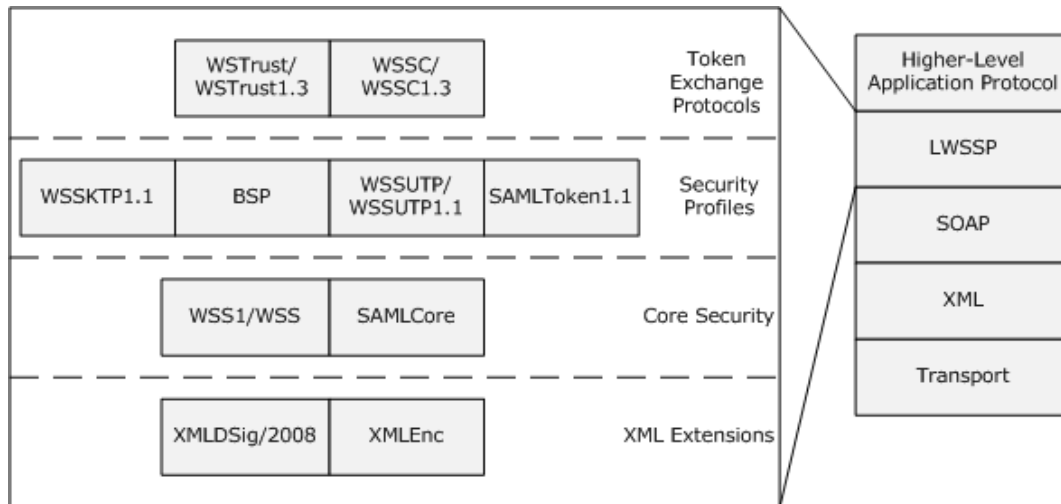
The [BSP], [WSSUTP], [WSSUTP1.1], [WSSKTP1.1], and [SAMLToken1.1] profiles specify restrictions on and clarifications to [WSS1], [WSS], and [SAMLCore] to promote interoperability among different implementations of those protocols. These protocols are referred to as Security Profiles.

[WSTrust], [WSTrust1.3], [WSSC], and [WSSC1.3] specify additional security elements as well as message exchange patterns used to create and exchange security tokens in SOAP messages. These documents are referred to as Token Exchange protocols.

The parts of the above documents that specify server authentication, message integrity, and message protection are not specified by this document and are assumed to be provided by underlying transport protocol.

### 1.4 Relationship to Other Protocols

This specification is a profile of the protocols listed in section 1.3. In addition, it relies on a number of underlying protocols. The exchanged messages are based on SOAP [SOAP1.1] [SOAP1.2-1/2007] over XML [XML]. It further requires a transport. This document does not specify the transport to use but relies on the transport to provide message integrity and protection since it does not specify support for it itself.



**Figure 1: Protocol relationships**

### 1.5 Prerequisites/Preconditions

There are no prerequisites/preconditions beyond those specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols.

### 1.6 Applicability Statement

The Lightweight Web Services Security Profile is applicable when interoperability with Web services implementations using the XML Extension, Core Security, Security Profile, and Token Exchange protocols to provide client authentication is desired. When those same protocols are used to provide server authentication, message integrity or confidentiality features, or if they are not used at all, the Lightweight Web Services Security Profile might not be applicable.

### 1.7 Versioning and Capability Negotiation

There are no versioning or capability negotiations beyond those specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols.

## **1.8 Vendor-Extensible Fields**

There are no vendor extensible fields beyond those specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols.

## **1.9 Standards Assignments**

There are no standards assignments beyond those specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols.

## 2 Messages

### 2.1 Transport

This specification defines only serialization rules for SOAP extensions specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols. This specification does not define how SOAP messages are transmitted on the network. As such, it does not have a transport.

### 2.2 Message Syntax

This section specifies restrictions to SOAP extensions specified in the XML Extension, Core Security, Security Profile, and Token Exchange protocols.

This document considers the normative sections in [WSS1], [WSS], [BSP], [WSSUTP], [WSSUTP1.1], [WSSKTP1.1], [XMLDSig/2008], [XMLENC], [WSTrust], [WSTrust1.3], [WSSC], [WSSC1.3], [SAMLCore], and [SAMLToken1.1] as non-normative unless they are explicitly specified in this section or a subsection of this section.

This section is split into two subsections. Section 2.2.1 specifies restrictions on and clarifications to the <Security> element specified in [WSS1] and [WSS] and its sub-elements, which are specified in [WSS1], [WSS], [BSP], [WSSUTP], [WSSUTP1.1], [WSSKTP1.1], [XMLDSig/2008], [XMLENC], [SAMLCore] and [SAMLToken1.1]. Section 2.2.2 specifies restrictions on the request security token (RST) and request security token response (RSTR) messages specified in [WSTrust], [WSTrust1.3], [WSSC], and [WSSC1.3].

#### 2.2.1 Security Element

The <Security> element is specified in [WSS1] section 5, [WSS] section 5, and [BSP] section 5. It is a container element for binding a user's credentials (in the form of tokens and signatures) to a SOAP message when adding/verifying client authentication data to a SOAP message.

When used to add authentication data to a SOAP request message, the <Security> element is composed of a combination of child elements from the following list. The <Security> element MUST only contain child elements from the following:

- Zero or one <Timestamp> element as defined in section 2.2.1.2.
- Zero or one <BinarySecurityToken> element as defined in section 2.2.1.3.
- Zero or one <UsernameToken> element as defined in section 2.2.1.4.
- Zero or one <SecurityContextToken> element as defined in section 2.2.1.5.
- Zero or one <Assertion> element as defined in section 2.2.1.6.
- Zero, one, or multiple <Signature> elements as defined in section 2.2.1.7.

If at least one <Signature> element is present in the <Security> element, the <Timestamp> element MUST be present as well. Otherwise, the <Timestamp> element is optional.

When used to add authentication data to a SOAP response message, the <Security> element is composed of a combination of child elements from the following list. The <Security> element MUST only contain child elements from the following:

- Zero or one <Timestamp> element as defined in section 2.2.1.2.

### 2.2.1.1 SecurityTokenReference Element

The <SecurityTokenReference> element is specified in [WSS1] section 7.1, [WSS] section 7.1, and [BSP] section 7.1. The <SecurityTokenReference> element MUST contain exactly one of the following elements as a child element:

- A <Reference> element as specified in [WSS1] section 7.2, [WSS] section 7.2, and [BSP] section 7.2. This document refers to this element as a direct reference.
- A <KeyIdentifier> element as specified in [WSS1] section 7.3, [WSS] section 7.3, and [BSP] section 7.4. This document refers to this element as a key identifier reference.

### 2.2.1.2 Timestamp Element

The <Timestamp> element is specified in [WSS1] section 10, [WSS] section 10, and [BSP] section 6.

### 2.2.1.3 BinarySecurityToken Element

The <BinarySecurityToken> element is specified in [WSS1] section 6.3, [WSS] section 6.3, and [BSP] section 10. A <BinarySecurityToken> element MUST implement the Kerberos Token that MUST conform to the definition specified in section 2.2.1.3.1.

#### 2.2.1.3.1 Kerberos BinarySecurityToken Element

The Kerberos <BinarySecurityToken> element is specified in [BSP] section 14 and [WSSKTP1.1] section 3 (excluding subsections 3.5 and 3.6). This document overrides the following specifications:

- [WSSKTP1.1] section 3.2 specifies multiple @ValueType attribute values. "*http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS\_Kerberosv5\_AP\_REQ*" MUST be used.
- If a Kerberos token is referenced as specified in [WSSKTP1.1] section 3.3 and [BSP] 14.2, a direct reference conforming to section 2.2.1.1 MUST be used.

If a Kerberos token is present in a <Security> element, a <Signature> element conforming to section 2.2.1.7 MUST be present in the same <Security> element. The <KeyInfo> element of that signature MUST reference the Kerberos token.

### 2.2.1.4 UsernameToken Element

The <UsernameToken> element is specified in [WSS1] section 6.2, [WSS] section 6.2, [BSP] section 11, [WSSUTP] section 3, and [WSSUTP1.1] section 3. This document overrides the following specifications:

- [WSSUTP] section 3.1, [WSSUTP1.1] section 3.1: For the Password/@Type attribute, the "#PasswordText" value MUST be used.
- [WSSUTP] section 3.1, [WSSUTP1.1] section 3.1: The Nonce and Created child elements MUST NOT be used.

A <UsernameToken> element MUST NOT be referenced by a <SecurityTokenReference> element.

### 2.2.1.5 SecurityContextToken Element

The <SecurityContextToken> element is specified in [WSSC] section 3 and [WSSC1.3] section 2.

If a security context token (SCT) is referenced as specified in [WSSC] section 9 and [WSSC1.3] section 8, a direct reference conforming to section 2.2.1.1 MUST be used.

If a security context token is present in a <Security> element, a <Signature> element conforming to section 2.2.1.7 MUST be present in the same <Security> element. The <KeyInfo> child element of that <Signature> element MUST reference the security context token.

This document overrides the following specification:

- [WSSC1.3] section 8: "If the SCT is referenced from within the <wsse:Security> element or from an RST or RSTR, it is RECOMMENDED that these references be message independent, but these references MAY be message-specific."

When the SCT is referenced from within the <Security> element, the reference MUST be message-specific.

### **2.2.1.6 Assertion Element**

The <Assertion> element is specified in [SAMLCore] section 2.3.2. An <Assertion> element defines a SAML token.

[SAMLCore] and [SAMLToken1.1] specify how to parse and validate <Assertion> elements.

If a SAML token is referenced as specified in [SAMLToken1.1] sections 3.4 (ignoring subsections) and 3.4.1, a key identifier reference conforming to section 2.2.1.1 MUST be used.

If a SAML token is present in a <Security> element, a <Signature> element conforming to section 2.2.1.7 MUST be present in the same <Security> element. The <KeyInfo> element of that signature MUST reference the SAML token.

This document overrides the following specifications:

- Direct and embedded references as specified in [SAMLToken1.1] section 3.4 are not used.
- The ValueType "http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.1#SAMLID" and the TokenType "http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.1#SAMLV2.0" specified in [SAMLToken1.1] section 3.4 MUST NOT be used.
- The NotBefore and NotOnOrAfter attributes as specified in [SAMLCore] section 2.3.2.1.1 MAY be omitted.
- The MajorVersion and MinorVersion attributes as specified in [SAMLCore] section 2.3.2 MUST be present and MUST both have a value of "1".
- A <Signature> element as specified in [SAMLCore] section 5.4 and conforming to section 2.2.1.7 MUST be present.

A <SubjectConfirmation> element conforming to section 2.2.1.6.1 MUST be present.

#### **2.2.1.6.1 SubjectConfirmation Element**

The <SubjectConfirmation> element is specified in [SAMLCore] section 2.4.2.3 and [SAMLToken1.1] sections 3.5 (excluding subsections), 3.5.1 (excluding subsections), 3.5.1.1, and 3.5.1.2.

At least one SubjectConfirmation sub-element MUST be present in an <Assertion> element.

A <SubjectConfirmation> element MUST contain exactly one <KeyInfo> element, as specified in [XMLENC] section 5.4, which corresponds to the key used for the signature specified in section 2.2.1.7 corresponding to the SAML token.

The <SecurityTokenReference> child element of the <KeyInfo> element MUST be a key identifier reference with a ValueType attribute value of "http://docs.oasis-open.org/wss/oasis-wss-soap-message-security-1.1#ThumbprintSHA1". This document overrides the following specifications:

[SAMLToken1.1] section 3.5: Only the *"urn:oasis:names:tc:SAML:1.0:cm:holder-of-key"* subject confirmation method MUST be used.

The "<element name='OAEPparams' minOccurs='0' type='base64Binary'/>" element specified in [XMLENC] section 5.4.2 MUST NOT be used.

### 2.2.1.7 Signature Element

The <Signature> element is specified in [XMLDSig/2008] section 4.1, [WSS1] sections 7.1 and 8 (excluding subsection 8.3), [WSS] sections 7.1 and 8 (excluding subsections 8.3 and 8.5), and [BSP] section 8.

Signatures are tied to security tokens as specified in sections 2.2.1.3.1, 2.2.1.5, and 2.2.1.6. All references to security tokens MUST be internal as specified in [BSP] section 7.6.

Each <Signature> element MUST contain exactly one of each of the following elements as child elements:

- A <SignedInfo> element that MUST conform to section 2.2.1.7.1.
- A <SignatureValue> element as specified in [XMLDSig/2008] section 4.2.
- A <KeyInfo> element that MUST conform to section 2.2.1.7.2.

This document overrides the following specifications:

- The "*<element ref='ds:Object' minOccurs='0' maxOccurs='unbounded'/>*" element specified in [XMLDSig/2008] section 4.1 MUST NOT be used.
- [WSS1] section 8.2, [WSS] section 8.2: *"Producers SHOULD sign all important elements of the message."*

The following elements are signed if the <Signature> element is a child element of the <Security> element specified in section 2.2.1:

- The <To> element as specified in [WS-Addr-Core] section 3.2 MUST be present and signed if the signing key is asymmetric. If the signing key is symmetric, this element MUST NOT be signed.
- The <Timestamp> element specified in section 2.2.1.2 MUST be signed. If a <Signature> element is present, the <Timestamp> element MUST be present as well.

If the <Signature> element is a child element of the <Assertion> element, as specified in section 2.2.1.6, then the <Assertion> element MUST be signed.

Other elements MUST NOT be signed.

#### 2.2.1.7.1 SignedInfo Element

The <SignedInfo> element is specified in [XMLDSig/2008] section 4.3. This document overrides the following text:

[XMLDSig/2008] section 4.3.2: *"element name='HMACOutputLength' minOccurs='0' type='ds:HMACOutputlengthType'/>."*

This element MUST NOT be present as specified in [BSP] section 8.7.2.

##### 2.2.1.7.1.1 Supported Algorithms

This document supports the algorithms specified in [BSP] sections 8.3, 8.4, 8.6, and 8.7. The following passages are overridden:

[BSP] section 8.2.5: "R3002 Any *SIG\_REFERENCE* to an element that does not have an *ID* attribute *MUST* contain a *TRANSFORM* with an *Algorithm* attribute value of "http://www.w3.org/2002/06/xmldsig-filter2."

The *ID* attribute *MUST* be present in elements to which there are *SIG\_REFERENCE* elements, and the "http://www.w3.org/2002/06/xmldsig-filter2" algorithm *MUST NOT* be used.

[BSP] section 8.4.1: "R5404 Any *CANONICALIZATION\_METHOD* *Algorithm* attribute *MUST* have a value of "http://www.w3.org/2001/10/xml-exc-c14n#" indicating that it uses *Exclusive C14N* without comments for canonicalization."

The following values *SHOULD* be supported:

- http://www.w3.org/2001/10/xml-exc-c14n#
- http://www.w3.org/2001/10/xml-exc-c14n#WithComments

[BSP] section 8.6.1: "R5420 Any *DIGEST\_METHOD* *Algorithm* attribute *SHOULD* have a value of "http://www.w3.org/2000/09/xmldsig#sha1"."

The following values *SHOULD* be supported:

- http://www.w3.org/2000/09/xmldsig#sha1
- http://www.w3.org/2001/04/xmlenc#sha256
- http://www.w3.org/2001/04/xmlenc#sha384
- http://www.w3.org/2001/04/xmlenc#sha512

[BSP] section 8.7.1: "R5421 Any *SIGNATURE\_METHOD* *Algorithm* attribute *SHOULD* have a value of "http://www.w3.org/2000/09/xmldsig#hmac-sha1" or "http://www.w3.org/2000/09/xmldsig#rsa-sha1"."

The following values *SHOULD* be supported:

- http://www.w3.org/2000/09/xmldsig#hmac-sha1
- http://www.w3.org/2001/04/xmldsig-more#hmac-sha256
- http://www.w3.org/2001/04/xmldsig-more#hmac-sha384
- http://www.w3.org/2001/04/xmldsig-more#hmac-sha512

The following values *MAY*<1> be supported:

- http://www.w3.org/2000/09/xmldsig#rsa-sha1
- http://www.w3.org/2000/09/xmldsig#dsa-sha1
- http://www.w3.org/2001/04/xmldsig-more#rsa-sha256
- http://www.w3.org/2001/04/xmldsig-more#rsa-sha384
- http://www.w3.org/2001/04/xmldsig-more#rsa-sha512

### **2.2.1.7.2 KeyInfo Element**

The <KeyInfo> element is specified in [XMLDSig/2008] section 4.4 (excluding subsections). A <KeyInfo> element *MUST* contain exactly one <SecurityTokenReference> element as a child element, as specified in [BSP] section 8.8. The <SecurityTokenReference> element *MUST* conform to the definition specified in section 2.2.1.1.



## 2.2.2 RST and RSTR Messages

[WSTrust] and [WSTrust1.3] specify a framework for requesting and returning security tokens using RST and RSTR messages. RST messages provide the means for requesting a security token from an STS or directly from the server. They have an extensible format that allows the client to specify a range of parameters that the token must satisfy. RSTR messages return the requested token and supporting state. Both messages use the <Security> element specified in section 2.2.1 to secure the exchange.

Only single-leg trust exchanges are used. That is, the client requests a token and the server returns it without any intermediate trust message exchanges.

RST message body MUST contain exactly one <RequestSecurityToken> element as specified in [WSTrust] sections 5.1 "Requesting a Security Token" and 5.3 "Binary Secrets", and [WSTrust1.3] sections 3.1 and 3.3.

RSTR message body MUST contain exactly one <RequestSecurityTokenResponse> element as specified in [WSTrust] sections 5.2 "Returning a Security Token" and 5.3 "Binary Secrets", and [WSTrust1.3] sections 3.2 and 3.3.

When using [WSTrust1.3], the <RequestSecurityTokenResponse> element MUST be contained in a <RequestSecurityTokenResponseCollection> element as specified in [WSTrust1.3] section 4.3. The <RequestSecurityTokenResponseCollection> element MUST NOT contain more than one <RequestSecurityTokenResponse> element.

This document overrides the following specifications:

- The value of the BinarySecret/@type attribute specified in [WSTrust] section 5.3 MUST be set to one of the following values:
  - <http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce>
  - <http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey>
- The value of the BinarySecret/@type attribute specified in [WSTrust1.3] section 3.3 MUST be set to one of the following values:
  - <http://docs.oasis-open.org/ws-sx/ws-trust/200512/Nonce>
  - <http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey>
- [WSTrust1.3] section 3.1: "The <wst:RequestSecurityToken> element (RST) is used to request a security token (for any purpose). This element SHOULD be signed by the requestor, using tokens contained/referenced in the request that are relevant to the request."

The <RequestSecurityToken> element MUST NOT be signed.
- [WSTrust] section 11.2 and [WSTrust1.3] section 9.2: The optional <KeyType> element of an issuance binding RST message, and the corresponding <KeyType> element of an issuance binding RSTR message, MUST be either unspecified or specified as one of the following:
  - <http://schemas.xmlsoap.org/ws/2005/02/trust/SymmetricKey>
  - <http://docs.oasis-open.org/ws-sx/ws-trust/200512/SymmetricKey>
  - <http://docs.oasis-open.org/wssx/wstrust/200512/Bearer>
  - <http://docs.oasis-open.org/ws-sx/wstrust/200512/Bearer>
  - <http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer>

### 2.2.2.1 Binding Extensions

The <RequestSecurityToken> and <RequestSecurityTokenResponse> elements form the basis of trust message exchange bindings, which extend these elements for specific usages. The following bindings are supported:

- Issuance Binding
- Context Establishment Binding
- Context Renewal Binding
- Context Cancellation Binding

#### 2.2.2.1.1 Issuance Binding

The issuance binding is specified in [WSTrust] section 6 "Issuance Binding" (excluding subsections 6.2.5, 6.3, and 6.4) and [WSTrust1.3] section 4 (excluding subsections 4.2.1, 4.4.5, 4.4.10, and 4.5).

#### 2.2.2.1.2 Context Establishment Binding

The context establishment binding is specified in [WSSC] section 4 (excluding subsections 4.3) and [WSSC1.3] section 3 (excluding subsections 3.3 and 3.4). This document overrides the following specifications:

- [WSSC] section 4: The message format specified by *"Security context token created by a security token service"* MUST NOT be used.
- [WSSC] section 4: The message format specified by *"Security context token created by one of the communicating parties and propagated with a message"* MUST NOT be used.
- [WSSC] section 4: *"If appropriate, the basic challenge-response definition in [WSTrust] is RECOMMENDED."* For more information about the basic challenge-response definition, see [WSTrust].
  - Challenge-response MUST NOT be used.
- [WSSC1.3] section 3: The message format specified by *"Security context token created by a security token service"* MUST NOT be used.
- [WSSC1.3] section 3: The message format specified by *"Security context token created by one of the communicating parties and propagated with a message"* MUST NOT be used.
- [WSSC1.3] section 3: *"If appropriate, the basic challenge-response definition in [WSTrust] is RECOMMENDED."* For more information about the basic challenge-response definition, see [WSTrust].
  - Challenge-response MUST NOT be used.

#### 2.2.2.1.3 Context Renewal Binding

The context renewal binding is specified in [WSSC] section 6 and [WSSC1.3] section 5. This document overrides the following specification:

- [WSSC1.3] section 5: *"Proof of possession of the key associated with the security context MUST be proven in order for security context to be renewed. It is RECOMMENDED that this is done by creating the original claims signature over the signature that signs message body and key headers."*

Proof of possession MUST be established by including a security context token conforming to section 2.2.1.5 and a corresponding signature conforming to section 2.2.1.7 in the security element conforming to section 2.2.1. The elements that MUST be signed are specified in section 2.2.1.7. Signatures MUST NOT be signed to prove possession.

#### **2.2.2.1.4 Context Cancellation Binding**

The context cancellation binding is specified in [WSSC] section 7 and [WSSC1.3] section 6. This document overrides the following specification:

- [WSSC1.3] section 6: *"Proof of possession of the key associated with the security context MUST be proven in order for security context to be canceled. It is RECOMMENDED that this is done by creating the original claims signature over the signature that signs message body and key headers."*

Proof of possession MUST be established by including a security context token conforming to section 2.2.1.5 and a corresponding signature conforming to section 2.2.1.7 in the security element conforming to section 2.2.1. The elements that MUST be signed are specified in section 2.2.1.7. Signatures MUST NOT be signed to prove possession.

## 3 Protocol Details

### 3.1 Client Details

The client protocol details for the messages defined in section 2.2.1 are specified in [WSS1], [WSS], [WSSKTP1.1], [SAMLCore], [SAMLToken1.1], [BSP], [WSSUTP], [WSSUTP1.1], [WSSC], and [WSSC1.3].

The client protocol details for the messages defined in section 2.2.2 are specified in [WSTrust], [WSTrust1.3], [WSSC], and [WSSC1.3].

Beyond what is specified in the listed specifications, no protocol details are defined. Higher-layer application protocols might specify additional protocols.

#### 3.1.1 Abstract Data Model

None.

#### 3.1.2 Timers

None.

#### 3.1.3 Initialization

None.

#### 3.1.4 Higher-Layer Triggered Events

None.

##### 3.1.4.1 Error Handling

When a higher-layer application protocol submits a message to be sent, the implementation MAY check whether the message conforms to the syntax specified in section 2.2, and if it does not, return an error and abort further processing. Otherwise, the implementation MUST send the message to the server.

##### 3.1.5 Processing Events and Sequencing Rules

When a message is received, the implementation MUST verify that the message conforms to the syntax specified in section 2.2.

If the message does not conform to the syntax specified in section 2.2, the following processing steps are performed:

- The implementation MUST abort further processing.
- The implementation MAY return an error to the higher-layer application protocol. Otherwise, it MUST fail silently.

###### 3.1.5.1 RST Message

When an RST message conforming to the syntax specified in section 2.2.2 is received, it MUST be rejected.

### 3.1.5.2 RSTR Message

In addition to the steps specified in section 3.1.5, when an RSTR message conforming to the syntax specified in section 2.2.2 is received, processing is performed as described in sections 3.1.5.2.1, 3.1.5.2.2, 3.1.5.2.3, and 3.1.5.2.4.

#### 3.1.5.2.1 Issuance Binding

The client MAY<5> reject a received message that specifies the action:

- <http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal>

The client MAY<6> reject a received message that specifies either of the following <KeyType> element values:

- <http://docs.oasis-open.org/wssx/wstrust/200512/Bearer>
- <http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer>

Otherwise, the client MUST process a received message that conforms to the syntax specified in section 2.2.2.1.1.

Exactly one message MUST be processed as part of the issuance binding. Additional messages MUST be rejected.

#### 3.1.5.2.2 Context Establishment Binding

The client MUST process a received message that conforms to the syntax specified in section 2.2.2.1.2.

Exactly one message MUST be processed as part of the context establishment binding. Additional messages MUST be rejected.

#### 3.1.5.2.3 Context Renewal Binding

The client MUST process a received message that conforms to the syntax specified in section 2.2.2.1.3.

Exactly one message MUST be processed as part of the context renewal binding. Additional messages MUST be rejected.

#### 3.1.5.2.4 Context Cancellation Binding

The contents of a received message that conforms to the syntax specified in section 2.2.2.1.4 MAY<7> be ignored by the client. Otherwise, the client MUST process a received message conforming to the syntax specified in section 2.2.2.1.4.

Exactly one message MUST be processed as part of the context cancellation binding. Additional messages MUST be rejected.

### 3.1.6 Timer Events

None.

### 3.1.7 Other Local Events

None.

## 3.2 Server Details

The server protocol details for the messages defined in section 2.2.1 are specified in [WSS1], [WSS], [WSSKTP1.1], [SAMLCore], [SAMLToken1.1], [BSP], [WSSUTP], [WSSUTP1.1], [WSSC], and [WSSC1.3].

The server protocol details for the messages defined in section 2.2.2 are specified in [WSTrust], [WSTrust1.3], [WSSC], and [WSSC1.3].

Beyond what is specified in the listed specifications, no protocol details are defined. Higher-layer application protocols might specify additional protocols.<8>

### 3.2.1 Abstract Data Model

None.

### 3.2.2 Timers

None.

### 3.2.3 Initialization

None.

### 3.2.4 Higher-Layer Triggered Events

None.

#### 3.2.4.1 Error Handling

When a higher-layer application protocol submits a message to be sent, the implementation MAY<9> check whether the message conforms to the syntax specified in section 2.2, and if it does not, return an error and abort further processing. Otherwise, the implementation MUST send the message to the server.

### 3.2.5 Processing Events and Sequencing Rules

When a message is received, the implementation MUST verify that the message conforms to the syntax specified in section 2.2.

If the message does not conform to the syntax specified in section 2.2, the following processing steps are performed:

- The implementation MUST abort further processing.
- The implementation MAY<10> return an error to the higher-layer application protocol. Otherwise, it MUST fail silently.

#### 3.2.5.1 RST Message

In addition to the steps specified in section 3.2.5, when an RST message conforming to the syntax specified in section 2.2.2 is received, processing is performed as described in sections 3.2.5.1.1, 3.2.5.1.2, 3.2.5.1.3, and 3.2.5.1.4.

##### 3.2.5.1.1 Issuance Binding

This binding represents an exchange between a client and a Security Token Server, not a general "server" as the term is used throughout the rest of this document. Security Token Server-side support for this binding is not included in this profile document.

#### **3.2.5.1.2 Context Establishment Binding**

The server MUST process a received message that conforms to the syntax specified in section 2.2.2.1.2.

Exactly one message MUST be processed as part of the context establishment binding. Additional messages MUST be rejected.

#### **3.2.5.1.3 Context Renewal Binding**

The server MUST process a received message that conforms to the syntax specified in section 2.2.2.1.3.

Exactly one message MUST be processed as part of the context renewal binding. Additional messages MUST be rejected.

#### **3.2.5.1.4 Context Cancellation Binding**

The server MUST process a received message that conforms to the syntax specified in section 2.2.2.1.4.

Exactly one message MUST be processed as part of the context cancellation binding. Additional messages MUST be rejected.

#### **3.2.5.2 RSTR Message**

When an RSTR message conforming to the syntax specified in section 2.2.2 is received, it MUST be rejected.

#### **3.2.6 Timer Events**

None.

#### **3.2.7 Other Local Events**

None.

## 4 Protocol Examples

This section includes samples of messages for each supported message type. "." in the following examples is used to denote arbitrary XML values to improve readability.

### 4.1 UsernameToken Element in a SOAP Request Message

The following is an example of a <Security> element with a username token and a timestamp.

```
<o:Security s:mustUnderstand="1" xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <Timestamp xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    <Created>2008-08-15T01:33:04.916Z</Created>
    <Expires>2008-08-15T01:38:04.916Z</Expires>
  </Timestamp>
  <o:UsernameToken>
    <o:Username>...</o:Username>
    <o:Password Type="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-username-token-profile-1.0#PasswordText">...</o:Password>
  </o:UsernameToken>
</o:Security>
```

### 4.2 BinarySecurityToken Element in a SOAP Request Message

The following is an example of a <Security> element with a Kerberos token, its associated signature, and a timestamp.

```
<o:Security s:mustUnderstand="1" xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <a:Timestamp a:Id="_0" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    <a:Created>2008-08-15T01:39:46.121Z</a:Created>
    <a:Expires>2008-08-15T01:44:46.121Z</a:Expires>
  </a:Timestamp>
  <o:BinarySecurityToken a:Id="kt" EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0#Base64Binary" Value="http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">...</o:BinarySecurityToken>
  <Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
    <SignedInfo>
      <CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
      <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
      <Reference URI="#_0">
        <Transforms>
          <Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        </Transforms>
        <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
        <DigestValue>...</DigestValue>
      </Reference>
    </SignedInfo>
    <SignatureValue>...</SignatureValue>
  </Signature>
  <KeyInfo>
    <o:SecurityTokenReference a:TokenType="http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ" xmlns:a="http://docs.oasis-open.org/wss/oasis-wss-wssecurity-secext-1.1.xsd">
      <o:Reference URI="#kt" Value="http://docs.oasis-open.org/wss/oasis-wss-kerberos-token-profile-1.1#GSS_Kerberosv5_AP_REQ" />
    </o:SecurityTokenReference>
  </KeyInfo>
</o:Security>
```



```
</o:Security>
```

### 4.3 SecurityContextToken Element in a SOAP Request Message

The following is an example of a <Security> element with a security context token, its associated signature, and a timestamp.

```
<o:Security s:mustUnderstand="1" xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <a:Timestamp a:Id="_0" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    <a:Created>2008-08-15T01:48:08.469Z</a:Created>
    <a:Expires>2008-08-15T01:53:08.469Z</a:Expires>
  </a:Timestamp>
  <SecurityContextToken a:Id="_sct" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd" xmlns="http://schemas.xmlsoap.org/ws/2005/02/sc">
    <Identifier>urn:uuid:8a63487c-662b-40bf-b2df-f3b536062f5e</Identifier>
  </SecurityContextToken>
  <Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
    <SignedInfo>
      <CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#">
      <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
      <Reference URI="#_0">
        <Transforms>
          <Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        </Transforms>
        <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
        <DigestValue>...</DigestValue>
      </Reference>
    </SignedInfo>
    <SignatureValue>...</SignatureValue>
  </Signature>
  <KeyInfo>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
      <Reference URI="#_sct" ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct" />
    </SecurityTokenReference>
  </KeyInfo>
</o:Security>
```

### 4.4 Assertion Element in a SOAP Request Message

The following is an example of a <Security> element with a SAML token, its associated signature, and a timestamp.

```
<o:Security s:mustUnderstand="1" xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <a:Timestamp a:Id="_0" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    <a:Created>2008-08-15T02:12:44.524Z</a:Created>
    <a:Expires>2008-08-15T02:17:44.524Z</a:Expires>
  </a:Timestamp>
  <saml:Assertion MajorVersion="1" MinorVersion="1" AssertionID="saml-1" Issuer="urn:test-sts" IssueInstant="2008-08-15T02:12:44.179Z" xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
    <saml:Conditions NotBefore="2008-01-03T05:00:00.000Z" NotOnOrAfter="2108-12-01T03:08:59.000Z" />
    <saml:Advice />
    <saml:AttributeStatement>
      <saml:Subject>
        <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:emailAddress">a@b.com</saml:NameIdentifier>
        <saml:SubjectConfirmation>
```

```

    <saml:ConfirmationMethod>urn:oasis:names:tc:SAML:1.0:cm:holder-of-
key</saml:ConfirmationMethod>
    <KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
      <e:EncryptedKey xmlns:e="http://www.w3.org/2001/04/xmlenc#">
        <e:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-oaep-
mgf1p">
          <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
        </e:EncryptionMethod>
        <KeyInfo>
          <o:SecurityTokenReference>
            <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-soap-
message-security-1.1#ThumbprintSHA1">...</o:KeyIdentifier>
          </o:SecurityTokenReference>
        </KeyInfo>
        <e:CipherData>
          <e:CipherValue>...</e:CipherValue>
        </e:CipherData>
      </e:EncryptedKey>
    </KeyInfo>
  </saml:SubjectConfirmation>
</saml:Subject>
  <saml:Attribute AttributeName="UserName"
AttributeNameNamespace="urn:oasis:names:tc:SAML:1.1:nameid-format:WindowsDomainQualifiedName">
    <saml:AttributeValue>Test1</saml:AttributeValue>
  </saml:Attribute>
  <saml:Attribute AttributeName="EmailName"
AttributeNameNamespace="urn:oasis:names:tc:SAML:1.1:nameid-format:emailAddress">
    <saml:AttributeValue>a@b.com</saml:AttributeValue>
  </saml:Attribute>
</saml:AttributeStatement>
<Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
  <SignedInfo>
    <CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
    <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
    <Reference URI="#saml-1">
      <Transforms>
        <Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-signature" />
      </Transforms>
      <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
      <DigestValue>...</DigestValue>
    </Reference>
  </SignedInfo>
  <SignatureValue>...</SignatureValue>
  <KeyInfo>
    <o:SecurityTokenReference>
      <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-soap-message-
security-1.1#ThumbprintSHA1">...</o:KeyIdentifier>
    </o:SecurityTokenReference>
  </KeyInfo>
</Signature>
</saml:Assertion>
<Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
  <SignedInfo>
    <CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
    <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#hmac-sha1" />
    <Reference URI="#_0">
      <Transforms>
        <Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
      </Transforms>
      <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
      <DigestValue>...</DigestValue>
    </Reference>
  </SignedInfo>
  <SignatureValue>...</SignatureValue>
  <KeyInfo>
    <o:SecurityTokenReference>
      <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-saml-token-
profile-1.0#SAMLAssertionID">saml-1</o:KeyIdentifier>
    </o:SecurityTokenReference>
  </KeyInfo>
</Signature>

```

```
</KeyInfo>
</Signature>
</o:Security>
```

## 4.5 Timestamp Element in a SOAP Response Message

The following is an example of a <Security> element with a timestamp.

```
<o:Security s:mustUnderstand="1" xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
  <Timestamp xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">
    <Created>2008-08-15T01:48:08.474Z</Created>
    <Expires>2008-08-15T01:53:08.474Z</Expires>
  </Timestamp>
</o:Security>
```

## 4.6 Issuance Binding Request Message

The following is an example of a <RequestSecurityToken> element used with the issuance binding based on [WSTrust1.3] requesting a SAML token.

```
<RequestSecurityToken Context="urn:uuid:5ec07384-0bb0-4d80-a439-517ad3ea4ca2"
xmlns="http://docs.oasis-open.org/ws-sx/ws-trust/200512">
  <TokenType>http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.1#SAMLV1.1</TokenType>
  <RequestType>http://docs.oasis-open.org/ws-sx/ws-trust/200512/Issue</RequestType>
</RequestSecurityToken>
```

## 4.7 Issuance Binding Response Message

The following is an example of a <RequestSecurityTokenResponseCollection> element used with the issuance binding based on [WSTrust1.3] returning a SAML token.

```
<wst:RequestSecurityTokenResponseCollection xmlns:wst="http://docs.oasis-open.org/ws-sx/ws-trust/200512">
  <wst:RequestSecurityTokenResponse Context="urn:uuid:5ec07384-0bb0-4d80-a439-517ad3ea4ca2">
    <wst:TokenType>http://docs.oasis-open.org/wss/oasis-wss-saml-token-profile-1.1#SAMLV1.1</wst:TokenType>
    <wst:RequestedSecurityToken>
      <saml:Assertion MajorVersion="1" MinorVersion="1" AssertionID="saml-1"
Issuer="urn:test-sts" IssueInstant="2008-08-15T02:18:57.472Z"
xmlns:saml="urn:oasis:names:tc:SAML:1.0:assertion">
        <saml:Conditions NotBefore="2008-01-03T05:00:00.000Z" NotOnOrAfter="2108-12-01T03:08:59.000Z"/>
        <saml:Advice/>
        <saml:AttributeStatement>
          <saml:Subject>
            <saml:NameIdentifier Format="urn:oasis:names:tc:SAML:1.1:nameid-format:emailAddress">a@b.com</saml:NameIdentifier>
            <saml:SubjectConfirmation>
              <saml:ConfirmationMethod>urn:oasis:names:tc:SAML:1.0:cm:holder-of-key</saml:ConfirmationMethod>
              <KeyInfo xmlns="http://www.w3.org/2000/09/xmldsig#">
                <e:EncryptedKey xmlns:e="http://www.w3.org/2001/04/xmlenc#">
                  <e:EncryptionMethod Algorithm="http://www.w3.org/2001/04/xmlenc#rsa-oaep-mgflp">
                    <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
                  </e:EncryptionMethod>
                </KeyInfo>
              </saml:ConfirmationMethod>
            </saml:SubjectConfirmation>
          </saml:Subject>
        </saml:AttributeStatement>
      </saml:Assertion>
    </wst:RequestedSecurityToken>
  </wst:RequestSecurityTokenResponse>
</wst:RequestSecurityTokenResponseCollection>
```

```

        <o:SecurityTokenReference xmlns:o="http://docs.oasis-
open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
        <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-
soap-message-security-1.1#ThumbprintSHA1">...</o:KeyIdentifier>
        </o:SecurityTokenReference>
        </KeyInfo>
        <e:CipherData>
        <e:CipherValue>...</e:CipherValue>
        </e:CipherData>
        </e:EncryptedKey>
        </KeyInfo>
        </saml:SubjectConfirmation>
        </saml:Subject>
        <saml:Attribute AttributeName="UserName"
AttributeNamespace="urn:oasis:names:tc:SAML:1.1:nameid-format:WindowsDomainQualifiedName">
        <saml:AttributeValue>Test1</saml:AttributeValue>
        </saml:Attribute>
        <saml:Attribute AttributeName="EmailName"
AttributeNamespace="urn:oasis:names:tc:SAML:1.1:nameid-format:emailAddress">
        <saml:AttributeValue>a@b.com</saml:AttributeValue>
        </saml:Attribute>
        </saml:AttributeStatement>
        <Signature xmlns="http://www.w3.org/2000/09/xmldsig#">
        <SignedInfo>
        <CanonicalizationMethod Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        <SignatureMethod Algorithm="http://www.w3.org/2000/09/xmldsig#rsa-sha1" />
        <Reference URI="#saml-1">
        <Transforms>
        <Transform Algorithm="http://www.w3.org/2000/09/xmldsig#enveloped-
signature" />
        <Transform Algorithm="http://www.w3.org/2001/10/xml-exc-c14n#" />
        </Transforms>
        <DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
        <DigestValue>...</DigestValue>
        </Reference>
        </SignedInfo>
        <SignatureValue>...</SignatureValue>
        </KeyInfo>
        <o:SecurityTokenReference xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-
200401-wss-wssecurity-secext-1.0.xsd">
        <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-soap-
message-security-1.1#ThumbprintSHA1">...</o:KeyIdentifier>
        </o:SecurityTokenReference>
        </KeyInfo>
        </Signature>
        </saml:Assertion>
        </wst:RequestedSecurityToken>
        <wst:RequestedAttachedReference>
        <o:SecurityTokenReference xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
wss-wssecurity-secext-1.0.xsd">
        <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-saml-token-
profile-1.0#SAMLAssertionID">saml-1</o:KeyIdentifier>
        </o:SecurityTokenReference>
        </wst:RequestedAttachedReference>
        <wst:RequestedUnattachedReference>
        <o:SecurityTokenReference xmlns:o="http://docs.oasis-open.org/wss/2004/01/oasis-200401-
wss-wssecurity-secext-1.0.xsd">
        <o:KeyIdentifier ValueType="http://docs.oasis-open.org/wss/oasis-wss-saml-token-
profile-1.0#SAMLAssertionID">saml-1</o:KeyIdentifier>
        </o:SecurityTokenReference>
        </wst:RequestedUnattachedReference>
        <wst:RequestedProofToken>
        <wst:BinarySecret>...</wst:BinarySecret>
        </wst:RequestedProofToken>
        <wst:Lifetime>
        <wsu:Created xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-utility-1.0.xsd">2008-01-03T05:00:00.000Z</wsu:Created>
        <wsu:Expires xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-utility-1.0.xsd">2108-12-01T03:08:59.000Z</wsu:Expires>
        </wst:Lifetime>

```

```

    <wst:KeySize>256</wst:KeySize>
  </wst:RequestSecurityTokenResponse>
</wst:RequestSecurityTokenResponseCollection>

```

## 4.8 Context Establishment Request Message

The following is an example of a <RequestSecurityToken> element used with the context establishment binding based on [WSSC].

```

<RequestSecurityToken Context="urn:uuid:c416bc08-0664-49f3-850b-7d6cca60a59e"
  xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <TokenType>http://schemas.xmlsoap.org/ws/2005/02/sc/sct</TokenType>
  <RequestType>http://schemas.xmlsoap.org/ws/2005/02/trust/Issue</RequestType>
  <Entropy>
    <BinarySecret Type="http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce">...</BinarySecret>
  </Entropy>
  <KeySize>256</KeySize>
</RequestSecurityToken>

```

## 4.9 Context Establishment Response Message

The following is an example of a <RequestSecurityTokenResponse> element used with the context establishment binding based on [WSSC].

```

<RequestSecurityTokenResponse Context="urn:uuid:c416bc08-0664-49f3-850b-7d6cca60a59e"
  xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <TokenType>http://schemas.xmlsoap.org/ws/2005/02/sc/sct</TokenType>
  <RequestedSecurityToken>
    <SecurityContextToken a:Id="_sct" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd" xmlns="http://schemas.xmlsoap.org/ws/2005/02/sc">
      <Identifier>urn:uuid:8a63487c-662b-40bf-b2df-f3b536062f5e</Identifier>
    </SecurityContextToken>
  </RequestedSecurityToken>
  <RequestedAttachedReference>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
      <Reference URI="#_sct" ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct"/>
    </SecurityTokenReference>
  </RequestedAttachedReference>
  <RequestedUnattachedReference>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd">
      <Reference URI="urn:uuid:8a63487c-662b-40bf-b2df-f3b536062f5e"
        ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct"/>
    </SecurityTokenReference>
  </RequestedUnattachedReference>
  <RequestedProofToken>
    <ComputedKey>http://schemas.xmlsoap.org/ws/2005/02/trust/CK/PSHA1</ComputedKey>
  </RequestedProofToken>
  <Entropy>
    <BinarySecret Type="http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce">...</BinarySecret>
  </Entropy>
  <Lifetime>
    <Created xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">2008-08-15T01:48:08.3184132Z</Created>
    <Expires xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">2008-08-15T16:48:08.3184132Z</Expires>
  </Lifetime>
  <KeySize>256</KeySize>
</RequestSecurityTokenResponse>

```

## 4.10 Context Renewal Request Message

The following is an example of a <RequestSecurityToken> element used with the context renewal binding based on [WSSC].

```
<RequestSecurityToken Context="urn:uuid:8c5128dc-2511-4da7-860c-54cce3a7812b"
xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <TokenType>http://schemas.xmlsoap.org/ws/2005/02/sc/sct</TokenType>
  <RequestType>http://schemas.xmlsoap.org/ws/2005/02/trust/Renew</RequestType>
  <Entropy>
    <BinarySecret Type="http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce">...</BinarySecret>
  </Entropy>
  <KeySize>256</KeySize>
  <RenewTarget>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-secext-1.0.xsd">
      <Reference URI="urn:uuid:97b56502-ecf1-44f9-8172-56159c213039"
a:Instance="urn:uuid:30f06efd-3475-47cc-932b-2f2d81192b0f"
ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct"
xmlns:a="http://schemas.xmlsoap.org/ws/2005/02/sc/">
        </SecurityTokenReference>
      </RenewTarget>
    </RequestSecurityToken>
  </RequestSecurityToken>
```

## 4.11 Context Renewal Response Message

The following is an example of a <RequestSecurityTokenResponse> element used with the context renewal binding based on [WSSC].

```
<RequestSecurityTokenResponse Context="urn:uuid:8c5128dc-2511-4da7-860c-54cce3a7812b"
xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <TokenType>http://schemas.xmlsoap.org/ws/2005/02/sc/sct</TokenType>
  <RequestedSecurityToken>
    <SecurityContextToken a:Id="_sct" xmlns:a="http://docs.oasis-open.org/wss/2004/01/oasis-
200401-wss-wssecurity-utility-1.0.xsd" xmlns="http://schemas.xmlsoap.org/ws/2005/02/sc">
      <Identifier>urn:uuid:b0046ac2-c5c1-47d5-98b2-6de700d656be</Identifier>
      <Instance>urn:uuid:ec2de28e-e4b8-4964-9228-8fb0aabbe3dd</Instance>
    </SecurityContextToken>
  </RequestedSecurityToken>
  <RequestedAttachedReference>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-secext-1.0.xsd">
      <Reference URI="#_sct" ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct/">
    </SecurityTokenReference>
  </RequestedAttachedReference>
  <RequestedUnattachedReference>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-secext-1.0.xsd">
      <Reference URI="urn:uuid:b0046ac2-c5c1-47d5-98b2-6de700d656be"
a:Instance="urn:uuid:ec2de28e-e4b8-4964-9228-8fb0aabbe3dd"
ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct"
xmlns:a="http://schemas.xmlsoap.org/ws/2005/02/sc/">
    </SecurityTokenReference>
  </RequestedUnattachedReference>
  <RequestedProofToken>
    <ComputedKey>http://schemas.xmlsoap.org/ws/2005/02/trust/CK/PSHA1</ComputedKey>
  </RequestedProofToken>
  <Entropy>
    <BinarySecret Type="http://schemas.xmlsoap.org/ws/2005/02/trust/Nonce">...</BinarySecret>
  </Entropy>
  <Lifetime>
    <Created xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-
utility-1.0.xsd">2008-08-15T02:08:22.9136637Z</Created>
  </Lifetime>
</RequestSecurityTokenResponse>
```

```
<Expires xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd">2008-08-15T17:08:22.9136637Z</Expires>
</Lifetime>
<KeySize>256</KeySize>
</RequestSecurityTokenResponse>
```

## 4.12 Context Cancellation Request Message

The following is an example of a <RequestSecurityToken> element used with the context cancellation binding based on [WSSC].

```
<RequestSecurityToken Context="urn:uuid:4efa366d-fcb2-43f3-9e55-228ab5a21942"
xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <RequestType>http://schemas.xmlsoap.org/ws/2005/02/trust/Cancel</RequestType>
  <CancelTarget>
    <SecurityTokenReference xmlns="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-
wssecurity-secext-1.0.xsd">
      <Reference URI="urn:uuid:8a63487c-662b-40bf-b2df-f3b536062f5e"
ValueType="http://schemas.xmlsoap.org/ws/2005/02/sc/sct"/>
    </SecurityTokenReference>
  </CancelTarget>
</RequestSecurityToken>
```

## 4.13 Context Cancellation Response Message

The following is an example of a <RequestSecurityTokenResponse> element used with the context cancellation binding based on [WSSC].

```
<RequestSecurityTokenResponse Context="urn:uuid:4efa366d-fcb2-43f3-9e55-228ab5a21942"
xmlns="http://schemas.xmlsoap.org/ws/2005/02/trust">
  <RequestedTokenCancelled/>
</RequestSecurityTokenResponse>
```

## 5 Security

### 5.1 Security Considerations for Implementers

The following security consideration specifications apply to this profile document:

- [WSS1] section 13
- [WSS] section 13
- [BSP] section 17
- [WSSUTP] section 4
- [WSSUTP1.1] section 5
- [WSSKTP1.1] section 4
- [SAMLToken1.1] section 4
- [WSTrust] section 12
- [WSTrust1.3] section 12
- [WSSC] section 11
- [WSSC1.3] section 10

This profile document does not describe how to provide message integrity and message confidentiality services in SOAP messages. Message integrity and confidentiality services are assumed to be provided by the underlying transport protocol, and, as a result, implementers of the Lightweight Web Services Security Profile need to implement appropriate message confidentiality measures.

This profile document uses a range of cryptographic algorithms. Some of these algorithms might be considered weak depending on the security threats involved in specific scenarios. This profile document does not classify various cryptographic algorithms or prescribe them per usage scenarios.

This profile document specifies partial validation of SAML claims as specified in section 2.2.1.6 of the document. Before accepting a claim, full validation according to [SAMLCore] section 2 and [SAMLToken1.1] section 3 should be performed by higher-layer application protocols.

This profile document does not specify support for signing parts of a SOAP message body. The <To> header is also not signed when security tokens with symmetric keys are used. This lack of correlation can lead to attacks that involve splitting and reuse of parts of a SOAP message.

Security contexts that are established according to section 2.2.2.1.2 require the server to allocate state on behalf of the client to cache the established context. If the state is unbound, a malicious client can potentially exhaust server resources.

### 5.2 Index of Security Parameters

None.



## 6 (Updated Section) Appendix A: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include updates to those products.

The terms "earlier" and "later", when used with a product version, refer to either all preceding versions or all subsequent versions, respectively. The term "through" refers to the inclusive range of versions. Applicable Microsoft products are listed chronologically in this section.

### Windows Client

- Windows XP operating system Service Pack 2 (SP2)
- Windows Vista operating system
- Windows 7 operating system
- Windows 8 operating system
- Windows 8.1 operating system
- Windows 10 operating system
- Windows **Server 11** operating system

### Windows Server

- Windows Server 2003 operating system with Service Pack 1 (SP1)
- Windows Server 2008 operating system
- Windows Server 2008 R2 operating system
- Windows Server 2012 operating system
- Windows Server 2012 R2 operating system
- Windows Server 2016 operating system
- Windows Server operating system
- Windows Server 2019 operating system
- Windows Server 2022 operating system

Exceptions, if any, are noted in this section. If an update version, service pack or Knowledge Base (KB) number appears with a product name, the behavior changed in that update. The new behavior also applies to subsequent updates unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms "SHOULD" or "SHOULD NOT" implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term "MAY" implies that the product does not follow the prescription.

<1> Section 2.2.1.7.1.1: On Windows, an implementation of the Lightweight Web Services Security Profile does not support the following values:

- <http://www.w3.org/2000/09/xmlsig#rsa-sha1>
- <http://www.w3.org/2000/09/xmlsig#dsa-sha1>

- <http://www.w3.org/2001/04/xmldsig-more#rsa-sha256>
- <http://www.w3.org/2001/04/xmldsig-more#rsa-sha384>
- <http://www.w3.org/2001/04/xmldsig-more#rsa-sha512>

<2> Section 3.1: On Windows, an implementation of the Lightweight Web Services Security Profile does not specify additional protocols.

<3> Section 3.1.4.1: On Windows, an implementation of the Lightweight Web Services Security Profile returns an error code to the application.

<4> Section 3.1.5: On Windows, an implementation of the Lightweight Web Services Security Profile returns an error code to the application.

<5> Section 3.1.5.2.1: In Windows XP SP2, Windows Server 2003 with SP1, Windows Vista and later, and Windows Server 2008 and later, an implementation of the Lightweight Web Services Security Profile returns an error code to the application if the message specifies the "http://docs.oasis-open.org/ws-sx/ws-trust/200512/RSTRC/IssueFinal" action.

<6> Section 3.1.5.2.1: In Windows XP SP2, Windows Server 2003 with SP1, Windows Vista and later, and Windows Server 2008 and later, an implementation of the Lightweight Web Services Security Profile returns an error code to the application if the message specifies the "http://docs.oasis-open.org/wssx/wstrust/200512/Bearer" or "http://docs.oasis-open.org/ws-sx/ws-trust/200512/Bearer" element values.

<7> Section 3.1.5.2.4: On Windows, an implementation of the Lightweight Web Services Security Profile ignores the contents of the message.

<8> Section 3.2: On Windows, an implementation of the Lightweight Web Services Security Profile does not specify additional protocols.

<9> Section 3.2.4.1: On Windows, an implementation of the Lightweight Web Services Security Profile returns an error code to the application.

<10> Section 3.2.5: On Windows, an implementation of the Lightweight Web Services Security Profile returns an error code to the application.

## 7 Change Tracking

This section identifies changes that were made to this document since the last release. Changes are classified as Major, Minor, or None.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements.
- A document revision that captures changes to protocol functionality.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **None** means that no new technical changes were introduced. Minor editorial and formatting changes may have been made, but the relevant technical content is identical to the last released version.

The changes made to this document are listed in the following table. For more information, please contact [dochelp@microsoft.com](mailto:dochelp@microsoft.com).

Section	Description	Revision class
6 Appendix A: Product Behavior	Updated for this version of Windows Client.	Major

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